



THE CATHOLIC UNIVERSITY OF EASTERN AFRICA

A. M. E. C. E. A MAIN EXAMINATION

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AUGUST – DECEMBER 2018 TRIMESTER

FACULTY OF LAW

REGULAR PROGRAMME

CLS 304: CAPITAL MARKETS LAW

Date: DECEMBER 2018

Duration: 2 Hours

INSTRUCTIONS: Answer Question ONE and ANY OTHER TWO Questions

- Q1. There are innumerable alternative investment funds which can be accessed by any investor through the capital markets. Analyse these various alternative investment funds giving their advantages and disadvantages. **(17.5 marks)**
- Q2. There are four ways in which resources can be mobilized by investors through the International Capital Markets. Discuss the four ways and analyse the advantages and disadvantages of each. **(17.5 Marks)**
- Q3. A debt market is a market for issuance, trading and settlement of various types and features of fixed income securities. As you consider the above statement discuss the various instruments of the debt market. **(17.5 Marks)**
- Q4. Listing of securities refers to a company's shares being on the list of the stock that is officially traded on a stock exchange. As you consider the above statement discuss the various types of listing and the advantages and disadvantages of listing. **(17.5 Marks)**
- Q5. The Capital Markets instruments can be broadly classified into three. Discuss the three broad classifications and analyse the various instruments traded at the capital markets. **(17.5 Marks)**

END